



GSMA SAS Standard for Subscription Manager Roles

Version 4.1

19 July 2024

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1 Introduction

1.1 Overview

The GSMA Security Accreditation Scheme for Subscription Management Roles (SAS-SM) is a scheme through which Subscription Manager – Secure Routing (SM-SR), Subscription Manager – Data Preparation (SM-DP), Subscription Manager – Data Preparation+ (SM-DP+) and Subscription Manager – Discovery Server (SM-DS) suppliers subject their operational sites to a comprehensive security audit to ensure that adequate security measures to protect the interests of mobile network operators (MNO) have been implemented.

MNOs are dependent on suppliers to control risks; to ensure that adequate security is in place. Consistency and confidence is improved by the introduction of an auditable SAS standard, which is applied to all SM-DP, SM-SR, SM-DP+ or SM-DS suppliers. The purpose of the SAS-SM Standard is;

- to minimise risks to MNOs introduced by SM-DP, SM-SR, SM-DP+ or SM-DS functionality and,
- to provide a set of auditable requirements, together with the SAS Consolidated Security Requirements and Guidelines [2] and the SAS-SM Methodology [1], to allow SM-DP, SM-SR, SM-DP+ or SM-DS suppliers provide assurance to their customers that risks are controlled.

Security objectives applicable to organisations in the role of SM-SR, SM-DP, SM-DP+ and/or SM-DS are herein outlined.

1.2 Background

This SAS-SM Standard and related documents have been created and developed within GSMA through collaboration between representatives from MNOs, suppliers and the GSMA-appointed auditing companies. The GSMA is responsible for maintaining the SAS-SM Standard. A review of the scheme and its documentation takes place with MNOs, suppliers and the appointed auditors annually.

1.3 Scope

Organisations and the operational sites eligible for auditing include only those where remote provisioning and management takes place.

The scope of the document is restricted to security issues relating to:

- Creation, remote provisioning and management of MNO Profiles via SM-DP specified by GSMA in SGP.01 [3] and SGP.02 [4].
- Remote provisioning and management of eUICCs via SM-SR specified by GSMA in SGP.01 [3] and SGP.02 [4].
- Creation of MNO Profiles, remote provisioning and management of MNO Profiles and eUICCs via SM-DP+ specified in SGP.21 [5] and SGP.22 [6] or SGP.31 [9] and SGP.32 [10].
- Discovery services via SM-DS specified by GSMA in SGP.21 [5] and SGP.22 [6] or SGP.31 [9] and SGP.32 [10].

- eSIM IoT Manager specified by GSMA in SGP.31 [9] and SGP.32 [10].

The security objectives have been achieved by defining:

- eUICC life-cycle and processes in the scope of SM-SR.
- Profile life-cycle and processes in the scope of SM-DP and SM-DP+.
- SM-DS processes
- Assets to be protected.
- Risk and threats.
- Security requirements.

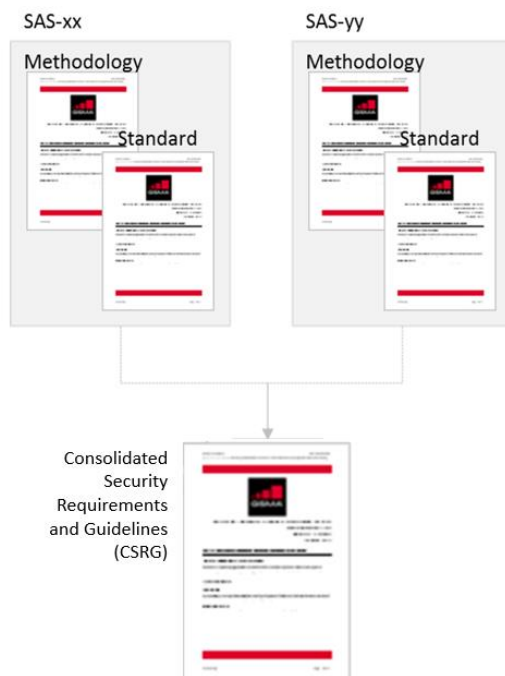
This document is not intended to be an SM-DP, SM-SR, SM-DP+ or SM-DS product protection profile.

1.4 Intended Audience

- Security professionals and others within organisations offering SM-DP, SM-SR, SM-DP+ or SM-DS functionality who are responsible for SM-DP, SM-SR, SM-DP+ or SM-DS SAS implementation and compliance.
- SAS-SM Auditors
- MNOs.

1.5 Related Documents

This document is part of the Security Accreditation Scheme documentation published by the GSMA. Documentation is structured as follows:



Each SAS scheme comprises a **Methodology** and **Standard** relevant to Sensitive Processes (SPs) that should be protected.

The **Methodology** describes the purpose of the scheme and how it is administered.

The **Standard** describes the security objectives related to the relevant SPs.

The **Consolidated Security Requirements and Guidelines (CSRG)** describes all of the security requirements that may apply to SPs in the different SAS schemes, and provides examples of how the security requirements may be achieved.

Figure 1 - SAS Documentation Structure

The accreditation schemes and documents are designed such that multiple schemes may utilise the same Consolidated Security Requirements and Guidelines.

The security objectives described in this document are supported by FS.09 GSMA SAS Methodology for Subscription Manager Roles [1] and the GSMA SAS Consolidated Security Requirements and Guidelines [2].

1.6 Definitions

Term	Description
Actor	Person who is involved in, or can affect, the Sensitive Process.
Business Continuity	Capability of the operator of a SP to continue to operate the SP at predefined levels (as determined by customer requirements) following a failure incident.
Data Preparation	A set of functions related to the Profile generation including Key handling, Personalisation data generation, encryption and transfer of a Profile in a dedicated eUICC.
eIM Configuration Operation	As defined in SGP.32 [10].
Employee	An individual who works part-time or full-time under a contract of employment, whether oral or written, express or implied, and has recognized rights and duties. Also called worker.
Environment	Environment of use of the Sensitive Process limited to the security aspects.
eSIM IoT remote Manager	As defined in SGP.31 [9].
eUICC	A UICC which is not easily accessible or replaceable, is not intended to be removed or replaced in a device, and enables the secure changing of profiles. The term originates from "embedded UICC".
eUICC Management	A set of functions related to the registration of an eUICC to a SM-SR and the change of SM-SR for an eUICC.
Incoming Sensitive Information	Sensitive inputs such as requests, files and keys.
IoT Device	As defined in SGP.32 [10].
Key	Refers to any logical key for example, a cryptographic key
Local Profile Assistant	A functional element in the Device or in the eUICC that provides the Local Profile Download (LPD), Local Discovery Services (LDS) and Local User Interface (LUI) features.
Outgoing Sensitive Information	Sensitive outputs such as responses, files and keys.
Platform Management	A set of functions related to the transport, enabling, disabling and deletion of a Profile on an eUICC. In M2M, this is operated by the SM-SR. In IoT, this is operated by the eIM.
Profile	Combination of a file structure, data and applications to be provisioned onto, or present on, an eUICC and which allows, when enabled, the access to a specific mobile network infrastructure.
Profile	A set of functions related to the downloading, installation and content update of a

Term	Description
Management	Profile in a dedicated eUICC.
Profile Metadata	Information about a profile for example, MSISDN, POL2, required by the SM-SR or the LPA to be able to manage the eUICC.
Profile State Management Operation	As defined in SGP.32 [10].
Sensitive Process	The security evaluation field, covering the processes and the assets within those processes
UICC	A smart card that conforms to the specification written and maintained by the ETSI Technical Committee (TC) Secure Element Technologies (SET).

1.7 Abbreviations

Term	Description
CI	Certificate Issuer
CSRG	Consolidated Security Requirements and Guidelines
DCOM	Data Centre Operations and Management
EID	eUICC Identifier
EIS	eUICC Information Set
eIM	eSIM IoT remote Manager
EUM	eUICC Manufacturer
GSMA	GSM Association
HSM	Hardware Security Module
IPA	IoT Profile Assistant
ISI	Incoming Sensitive Information
IoT	Internet of Things
IT	Information Technology
LDS	Local Discovery Service
LPA	Local Profile Assistant
LPD	Local Profile Download
LUI	Local User Interface
M2M	Machine-to-machine
MNO	Mobile Network Operator
OSI	Outgoing Sensitive Information
PRD	Permanent Reference Document
RSP	Remote SIM Provisioning
SAS-SM	Security Accreditation Scheme for Subscription Management Roles
SAS-UP	Security Accreditation Scheme for (e)UICC Production
SGP.nn	Prefix identifier for official documents belonging to GSMA eSIM Group
SM-DP	Subscription Manager – Data Preparation

Term	Description
SM-DP+	Subscription manager – Data Preparation +
SM-DS	Subscription Manager – Discovery Server
SM-SR	Subscription Manager – Secure Routing
SP	Sensitive Process

1.8 References

Ref	Doc Number	Title
[1]	PRD FS.09	GSMA SAS Methodology for Subscription Manager Roles
[2]	PRD FS.18	GSMA SAS Consolidated Security Requirements and Guidelines
[3]	PRD SGP.01	Embedded SIM Remote Provisioning Architecture
[4]	PRD SGP.02	Remote Provisioning Architecture for Embedded UICC Technical Specification
[5]	PRD SGP.21	Remote SIM Provisioning (RSP) Architecture
[6]	PRD SGP 22	Remote SIM Provisioning (RSP) Technical Specification
[7]	RFC 2119	Key words for use in RFCs to Indicate Requirement Levels
[8]	RFC 8174	Ambiguity of Uppercase vs Lowercase in RFC 2119 Key Words
[9]	PRD SGP.31	eSIM IoT Architecture and Requirement Specification
[10]	PRD SGP.32	eSIM IoT Technical Specification

1.9 Conventions

“The key words “MUST”, “MUST NOT”, “REQUIRED”, “SHALL”, “SHALL NOT”, “SHOULD”, “SHOULD NOT”, “RECOMMENDED”, “MAY”, and “OPTIONAL” in this document are to be interpreted as described in RFC 2119 [7] and clarified by RFC 8174 [8], when, and only when, they appear in all capitals, as shown here.”

2 Process and Scope Definitions

2.1 Product Lifecycle

The eUICC product life-cycle is broken down into a number of phases:

#	Title	Description
1.	Software development	Basic software and operating system development; application software development, integration and validation
2.	IC design	IC development; hardware development, initialisation and test program development, integration and validation, initialisation of identification information and delivery keys
3.	Production	Manufacture, assembly and testing of the eUICC to be personalised.
4.	Personalisation of Initial Provisioning Profile	Receipt and processing of input data; production data generation and preparation; output data generation, preparation and transfer. Receipt and management of physical assets for personalisation, personalisation of assets, packaging and delivery. Re-work of defective or reject personalised assets
5.	Remote Provisioning and Management	Encompasses the functions for eUICC, Platform and Profile Management and Data Preparation as defined in SGP.01 [3], SGP.21 [5], and SGP.31 [9]. For the machine-to-machine (M2M), it commences when the SM-SR takes responsibility for the eUICC, including the registration of an eUICC to a SM-SR. It also includes MNO requests to create, personalise, download and install Profiles to the eUICC. These functions are provided by the SM-DP or the SM-DP+. Profile transport to eUICC and subsequent Platform Management of the Profiles, such as enabling, disabling, deletion, and master deletion is provided by the SM-SR for M2M (SGP.01 [3] SGP.02 [4]), eIM for IoT (SGP.31 [9] / SGP.32[10]), or the Local Profile Assistant (LPA) for Consumer (SGP.21 [5] / SGP.22 [6]).
6.	End-of-life	When the eUICC reaches a stage where it can no longer perform the functions for which it was produced.

Table 1 - eUICC Product Life-Cycle

This SAS-SM Standard is defined only for SM-DP, SM-SR, eIM, SM-DP+ and SM-DS activities within phase 5 – Remote Provisioning and Management that is, eUICC Management, Platform Management, Data Preparation or Profile Management.





2.2 Scope of Certification

This section provides definitions of each of the following areas of scope in which a site participating in SAS for Subscription Management (SAS-SM) may gain accreditation.





- Subscription Management – Secure Routing (SM-SR);
- Subscription Management – Data Preparation (SM-DP);
- Subscription Management – Data Preparation+ (SM-DP+);

- Data Centre Operations and Management (DCOM);
- Subscription Management – Discovery Server (SM-DS), and
- eSIM IoT Remote Manager





2.2.1 Subscription Management – Secure Routing (SM-SR)

Definition	Accreditation Options	
	Locally Hosted Solution	Cloud Hosted Solution
Securely performs functions of Platform Management commands and the transport of Profile Management commands, as specified in SGP.01 [3] & SGP.02 [4].	 Full  Provisional SM-SR is fully hosted on infrastructure to which the SM-SR service provider has physical access.	 Full  Provisional SM-SR solution is partially (application only) or fully (application + HSM(s)) hosted on cloud service provider infrastructure to which the SM-SR service provider has remote access only.





2.2.2 Subscription Management – Data Preparation (SM-DP)

Definition	Accreditation Options	
	Locally Hosted Solution	Cloud Hosted Solution
Prepares Profiles to be securely provisioned on the eUICC and manages the secure download and installation of these Profile onto the eUICC, as specified in SGP.01 [3] & SGP.02 [4].	 Full  Provisional SM-DP is fully hosted on infrastructure to which the SM-DP service provider has physical access.	 Full  Provisional SM-DP solution is partially (application only) or fully (application + HSM(s)) hosted on cloud service provider infrastructure to which the SM-DP service provider has remote access only.





2.2.3 Subscription Management – Data Preparation+ (SM-DP+)

Definition	Accreditation Options	
	Locally Hosted Solution	Cloud Hosted Solution
<p>Prepares Profile Packages, secures each with a Profile protection key, stores Profile protection keys in a secure manner as well as the Protected Profile Packages in a Profile Package repository, and links the Protected Profile Packages to specified EIDs.</p> <p>The SM-DP+ binds Protected Profile Packages to the respective EID and securely downloads these Bound Profile Packages to the LPA of the respective eUICC, as specified in SGP.21 [5] & SGP.22 [6].</p>	<p>  Full  Provisional </p> <p>SM-DP+ is hosted on infrastructure to which the SM-DP+ service provider has physical access.</p>	<p>  Full  Provisional </p> <p>SM-DP+ solution is partially (application only) or fully (application + HSM(s)) hosted on cloud service provider infrastructure to which the SM-DP+ service provider has remote access only.</p>

2.2.4 Subscription Management – Discovery Server (SM-DS)





Definition	Accreditation Options	
	Locally Hosted Solution	Cloud Hosted Solution
<p>Provides addresses of one or more SMDP+(s) to a LDS, as specified in SGP.21 [5] & SGP.22 [6].</p>	<p>  Full  Provisional </p> <p>SM-DS is hosted on infrastructure to which the SM-DS service provider has physical access.</p>	<p>  Full  Provisional </p> <p>SM-DS solution is partially (application only) or fully (application + HSM(s)) hosted on cloud service provider infrastructure to which the SM-DS service provider has remote access only.</p>

2.2.5 Data Centre Operations and Management (DCOM)

Definition	Accreditation Options	
	Local Hosting	Cloud Hosting
<p>Management and operation of IT infrastructure required for providing subscription management services.</p>	<p>  Full  Provisional </p>	<p>  Full  Provisional </p>

Definition	Accreditation Options	
	Local Hosting	Cloud Hosting
<p>If provided by a third party, service model may vary and control/responsibility is shared and agreed between SM customer and DCOM provider. However, DCOM never includes management of SM application or SM application data.</p> <p>DCOM may include SM customer physical access to infrastructure or may also be provided as a cloud service through network access only.</p>	<p>SM service provider is responsible for physical access to own or subcontractor's IT infrastructure.</p>	<p>DCOM certified entity does not provide SM clients with physical access to IT infrastructure.</p>

2.2.6 eSIM IoT Remote Manager

Definition	Accreditation Options			
	Locally Hosted Solution		Cloud Hosted Solution	
<p>Performs secure remote profile state management operations and eIM configuration on a single IoT device or a fleet of IoT devices, as part of the architecture for remote provisioning of eUICCs in network constrained and/or user interface constrained IoT devices defined in SGP.31 [9] and SGP.32 [10].</p>	 Full	 Provisional	 Full	 Provisional
	<p>eIM is hosted on infrastructure to which the eIM service provider has physical access.</p>		<p>eIM solution is partially (application only) or fully (application + HSM(s)) hosted on cloud service provider infrastructure to which the eIM service provider has remote access only.</p>	

These are current definitions and applicable scopes. Definitions will be updated and scopes extended as appropriate.

3 Process Models

The life-cycle is used to depict the security target implementation. The representation of the steps within the process is based on data flows. All possible combinations are not described and chronological order is not necessarily represented.

3.1 Overall View

3.1.1 Remote SIM Provisioning for M2M

This schema is extracted from SGP.01 [3].

Three interfaces are defined for SM-DP:

- ES8 for Profile Management (between SM-DP and eUICC)
- ES3 for Profile and Platform Management (between SM-DP and SM-SR)
- ES2 for Profile and Platform Management (between SM-DP and MNO)

Five interfaces are defined for SM-SR:

- ES1 for eUICC provisioning ((between EUM and SM-SR)
- ES3 for Profile and Platform Management (between SM-DP and SM-SR)
- ES4 for Platform Management (between SM-SR and MNO)
- ES5 for Platform Management (between SM-SR and eUICC)
- ES7 for SM-SR change (between two SM-SR)

These interfaces are indicated in Figure 1. Proprietary interfaces not specified in SGP.02 [4] are those between the certificate issuer (CI) and the SM-DP and the SM-SR. These interfaces are used in certificate management. The certificate exchange operation is within scope of the audit.

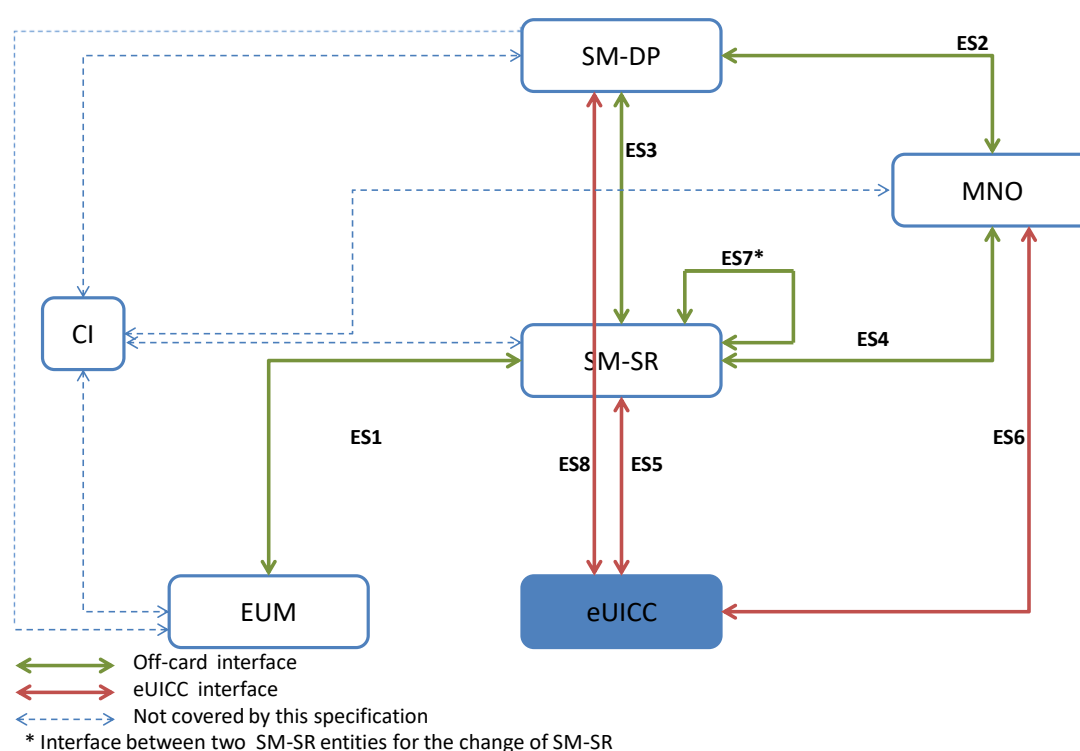


Figure 1 - eUICC Remote Provisioning System for M2M (SGP.02)

3.1.2 Remote SIM Provisioning for Consumer

This schema is extracted from SGP.21 [5].

Four interfaces are defined for SM-DP+:

- ES2+ for Profile and Platform Management (between SM-DP+ and MNO)
- ES8+ for Profile Management (between SM-DP+ and eUICC)

- ES9+ for Profile and Platform Management (between SM-DP+ and LPA)
- ES12 for Event Management (between SM-DP+ and SM-DS)

Three interfaces are defined for SM-DS:

- ES11 for Event Retrieval (between SM-DS and LPA)
- ES12 for Event Management (between SM-DS and SM-DP+)
- ES15 for Event Management (between two SM-DS)

These interfaces are indicated in Figure 2. Proprietary interfaces not specified in SGP.22 [6] are those between the CI and the SM-DP+ and the SM-DS. These interfaces are used in certificate management. The certificate exchange operation is within scope of the audit.

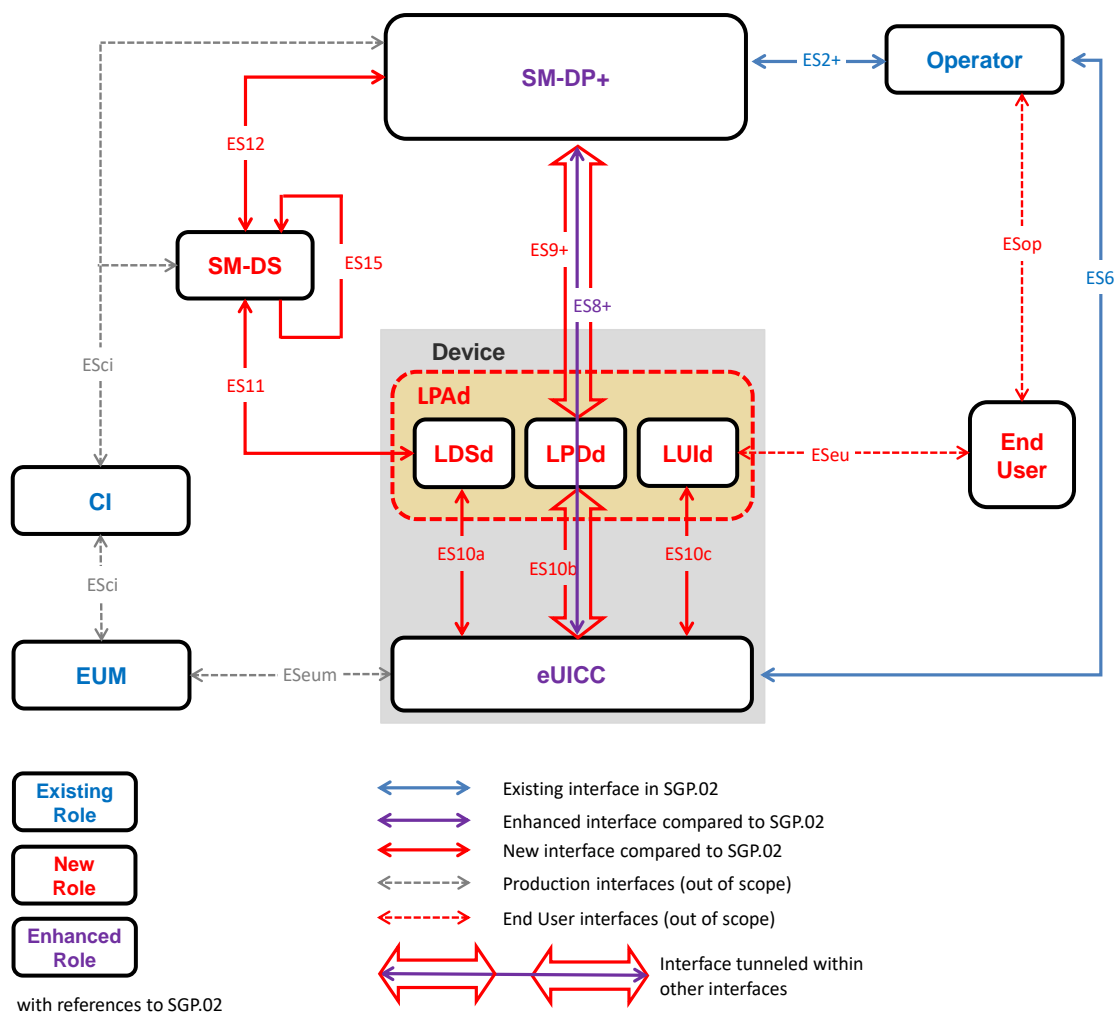


Figure 2 - eUICC Remote Provisioning System for RSP (SGP.22)

3.1.3 Remote SIM Provisioning for IoT

This schema is extracted from SGP.31 [9].

Five interfaces are defined for SM-DP+:

- ES2+ for Profile and Platform Management (between SM-DP+ and MNO)

- ES8+ for Profile Management (between SM-DP+ and eUICC)
- ES9+ for Profile and Platform Management (between SM-DP+ and IPA)
- ES9+' for Profile and Platform Management (between SM-DP+ and eIM)
- ES12 for Event Management (between SM-DP+ and SM-DS)

Four interfaces are defined for SM-DS:

- ES11 for Event Retrieval (between SM-DS and IPA)
- ES11' for Event Retrieval (between SM-DS and eIM)
- ES12 for Event Management (between SM-DS and SM-DP+)
- ES15 for Event Management (between two SM-DSs)

Four interfaces are defined for eIM (eSIM IoT remote Manager):

- ESep for eUICC Packages (between eIM and eUICC)
- ESipa for eIM Packages (between eIM and IPA)
- ES9+' for Profile and Platform Management (between SM-DP+ and eIM)
- ES11' for Event Retrieval (between SM-DS and eIM)

Those interfaces are specified in SGP.32 [10].

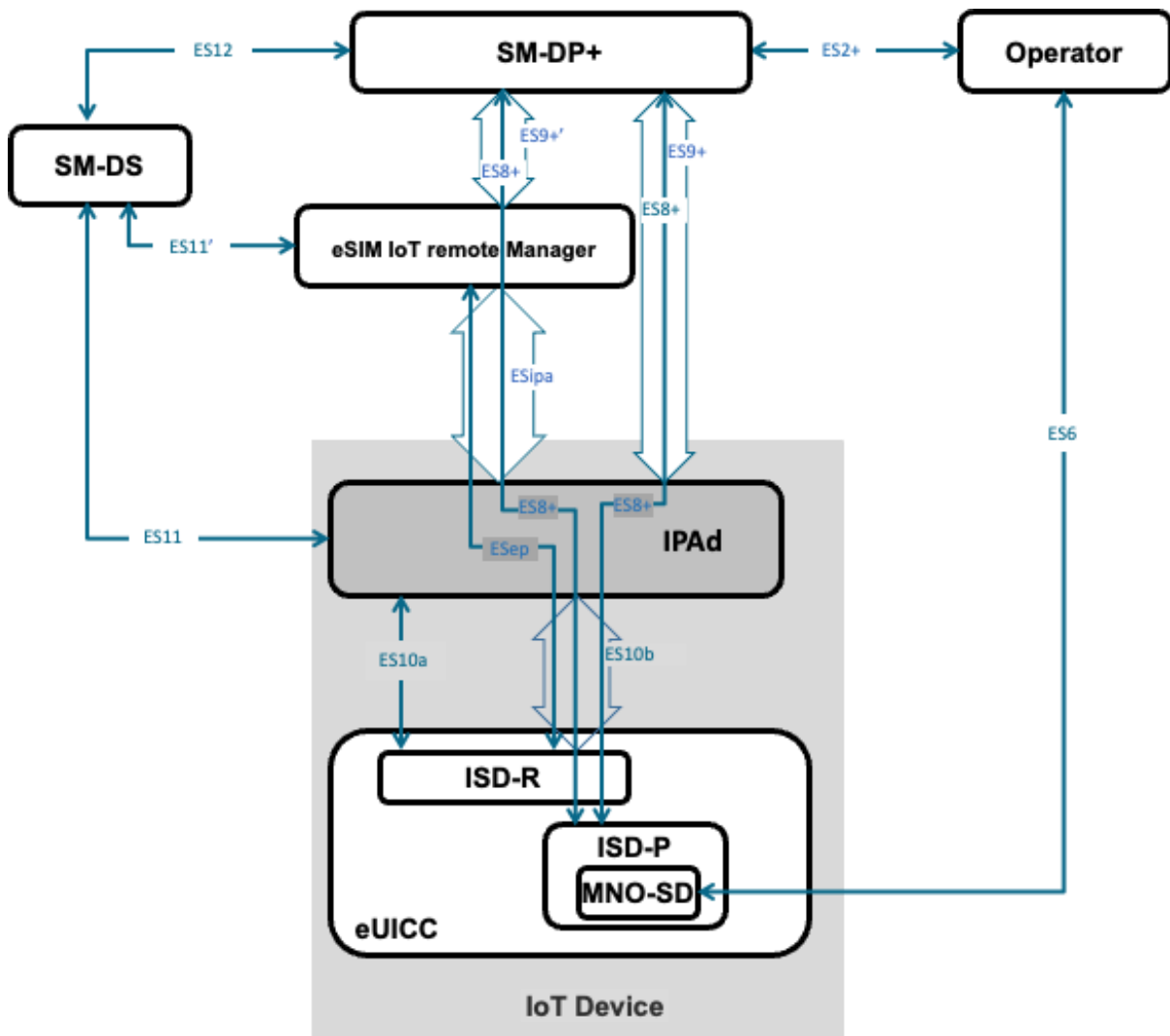


Figure 3 - eUICC Remote Provisioning System for IoT (SGP.32)

3.2 SM-SR

3.2.1 SM-SR Overview

The SM-SR enables, disables and deletes Profiles on the eUICC in accordance with the MNO's policy rules. The SM-SR is the only entity allowed to establish a transport channel to the eUICC to manage the eUICC platform.

Only one SM-SR can be associated with an eUICC at any point in time, but it can be changed during the lifetime of the eUICC.

The SM-SR obtains the Platform Management credentials of the eUICC from the eUICC Manufacturer or from the previous SM-SR.

3.2.2 SM-SR Processes

SM-SR processes include customer requests in various forms. A high level view of SM-SR processes are indicated in Figure 4.

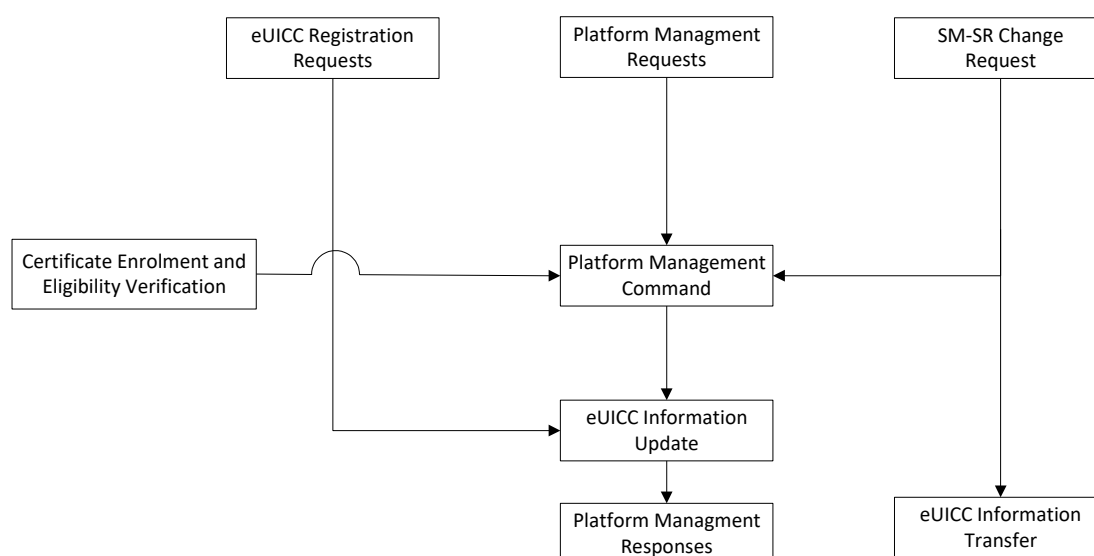


Figure 4 - SM-SR Processes

SM-SR processes consist of eUICC Registration, Platform Management and SM-SR Change. In addition to these, the SM-SR manages the authentication and authorisation of remote entities, indicated as 'Certificate Enrolment and Eligibility Verification' in Figure 4.

3.3 SM-DP

3.3.1 SM-DP Overview

The SM-DP acts on behalf of the MNO.

The SM-DP receives a Profile Description from the MNO and creates an un-personalised Profile.

The SM-DP generates Personalisation Data for the targeted eUICC (for example, network access credentials and other data) based upon data received from the MNO.

The SM-DP builds Personalised Profiles for the targeted eUICC. The SM-DP secures the Profile package with the Profile Installer Credentials of the targeted eUICC.

The SM-DP installs the Personalised Profile on the eUICC through the SM-SR.

On request of the MNO, the SM-DP also initiates Profile enabling, and Profile deletion requests to the eUICC via the SM-SR.

3.3.2 SM-DP Processes

SM-DP processes include customer requests in various forms. A high level view of SM-DP processes are indicated in Figure 5.

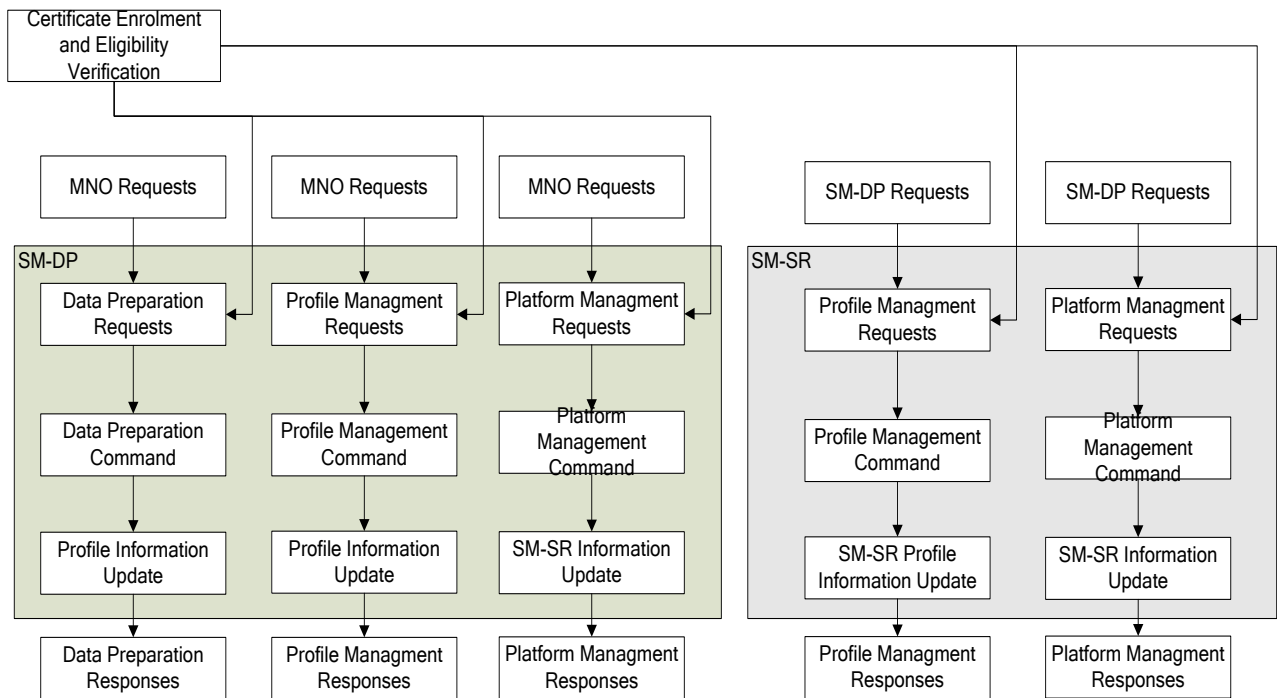


Figure 5 - SM-DP Processes

SM-DP processes consist of Data Preparation and Profile and Platform Management. In addition, the SM-DP manages the authentication and authorisation of remote entities, indicated as 'Certificate Enrolment and Eligibility Verification' in Figure 5.

NOTE If SM-SR and/or SM-DP components are distributed across multiple sites/systems and actively involved in SM-SR and/or SM-DP processes, the scope of the SAS certification process must include those sites/systems.

3.4 SM-DP+

3.4.1 SM-DP+ Overview

The SM-DP+ acts on behalf of the MNO.

The SM-DP+ receives a Profile Description from the MNO and creates an un-personalised Profile.

The SM-DP+ generates Personalisation Data for the targeted eUICC (for example, network access credentials and other data) based upon data received from the MNO.

The SM-DP+ builds Personalised Profiles for the targeted eUICC. The SM-DP+ secures the Profile package with the Profile Installer Credentials of the targeted eUICC.

The SM-DP+ installs the Personalised Profile on the eUICC through the LPA/IPA and the SM-DS.

3.4.2 SM-DP+ Processes

SM-DP+ processes include customer requests in various forms. A high level view of SM-DP+ processes are indicated in Figure 6.

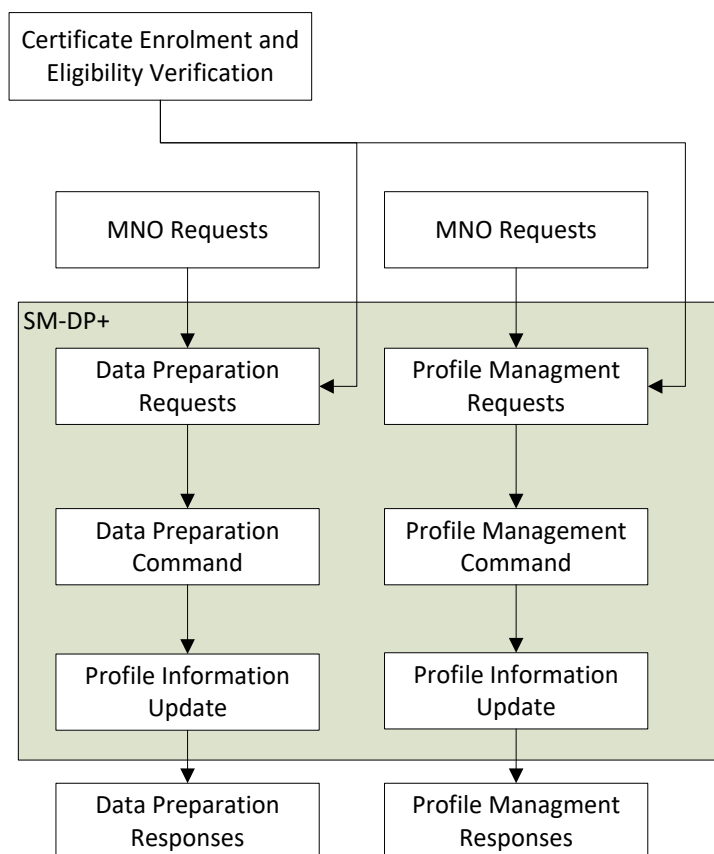


Figure 6 - SM-DP+ Processes

SM-DP+ processes consist of Data Preparation and Profile Management. In addition, the SM-DP+ manages the authentication and authorisation of remote entities, indicated as 'Certificate Enrolment and Eligibility Verification' in Figure 6.

3.5 SM-DS

3.5.1 SM-DS Overview

The role of the SM-DS is to provide mechanisms that allow an SM-DP+ to inform the LPA/IPA within any device that an SM-DP+ wishes to communicate with it. The purpose of the SM-DS to LPA/IPA communication SHALL be informing the LPA/IPA of a pending event.

3.5.2 SM-DS Processes

SM-DS processes include customer requests in various forms. A high level view of SM-DS processes are indicated in Figure 7.

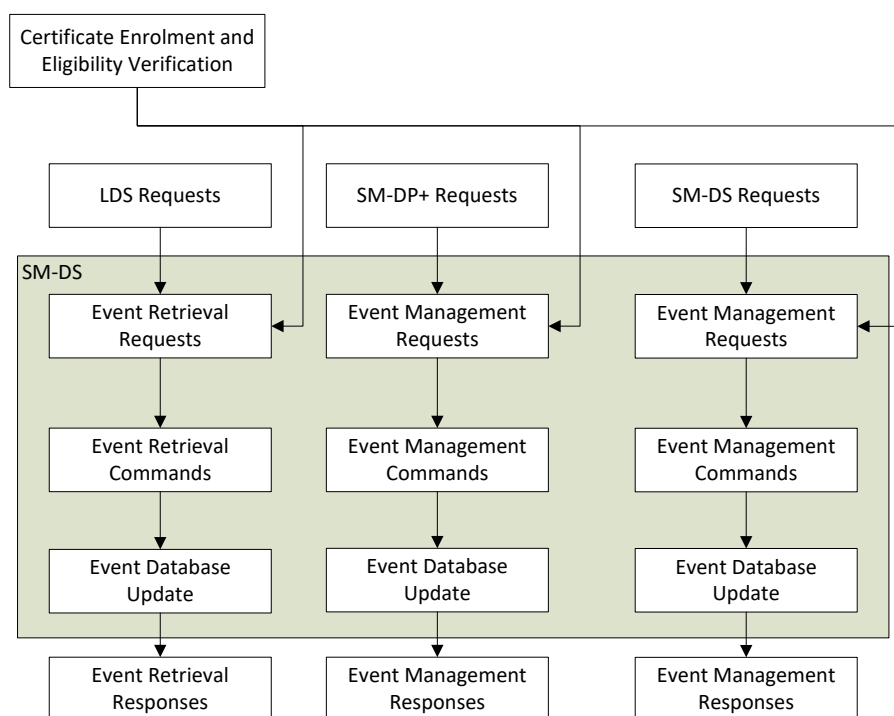


Figure 7 – SM-DS Processes

3.6 eIM

3.6.1 eIM Overview

The eIM is responsible of the following operations:

- Profile State Management Operations: enables, disables and deletes Profiles on the eUICC.
- eIM Configuration Operations: adds, deletes, updates eIMs to the eUICC. An eIM configured at eUICC is called "Associated" eIM.
- Profile Download in case of Indirect Profile Download where the eIM is acting as proxy between the IPA and the SM-DP+.

One or more eIMs can be associated with an eUICC. The initial eIM could be added at eUICC manufacturing, IoT Device manufacturing or in the field once the IoT Device is in use.

3.6.2 eIM Processes

eIM processes include customer requests in various forms. A high level view of eIM processes are indicated in Figure 8.

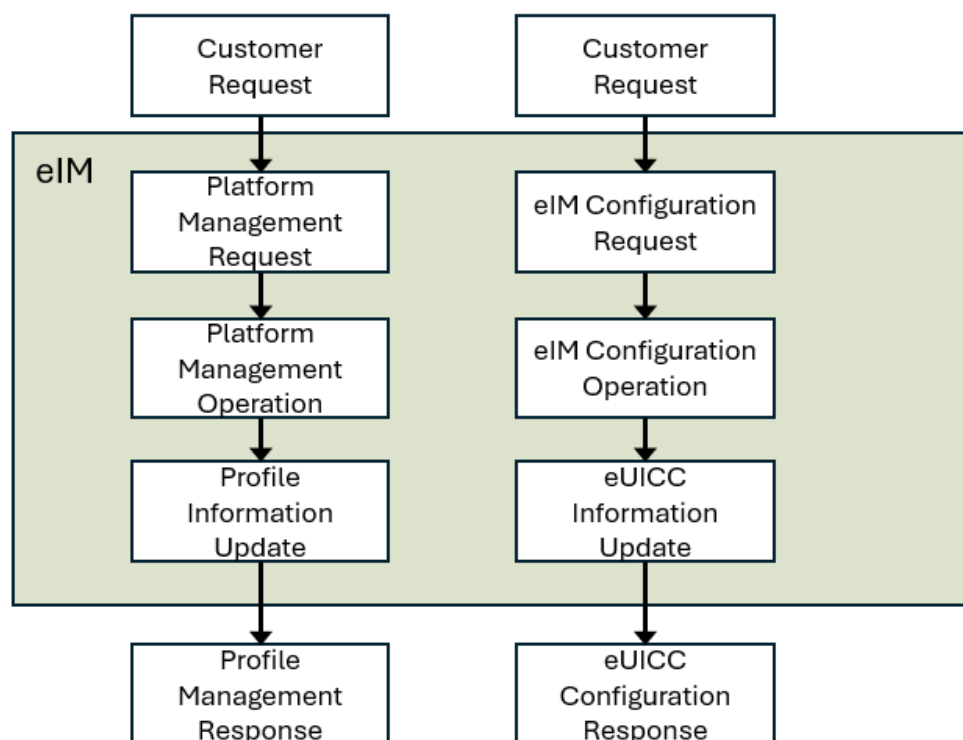


Figure 8 - eIM Processes

The eIM processes consist of Profile State Management Operations, eIM Configuration Operations and Profile Download.

In this document, we use Platform Management to refer to all these operations between eIM and eUICC.

3.7 Actors

There are four classes of Actor:

- Internal Authorised – [INT_AUTH] – Employees authorised to access the Sensitive Process (SP) and supporting Environment (for example, System Administrator, Support & Maintenance user).
- Internal Unauthorised – [INT_UNAU] – Employees not authorised to access the SP. But can access the supporting Environment (for example, IT Administrator).
- External Authorised – [EXT_AUTH] – third party with authority to access the SP and supporting Environment (for example, an SM-SR, an SM-DP or MNO).
- External Unauthorised – [EXT_UNAU] – third party not authorised to access the SP or supporting Environment (for example, physical data centre, attacker and hacker).

4 Assets

4.1 Introduction

Assets may be of different types, such as information, processes and systems. Within SM-DP, SM-SR, SM-DP+ or SM-DS the processes, information assets and SM-DP, SM-SR, SM-

DP+ or SM-DS system assets SHALL be controlled and closely supervised so that they are secure.

System assets of different types, such as servers, firewall, load balancers and software included in the SP environment SHALL also be protected and security requirements are set out in FS.18 [2].

4.2 Assets Description

4.2.1 SM-DP Assets

SM-DP information assets are laid out in Table 2.

Incoming Sensitive Information (ISI)	Outgoing Sensitive Information (OSI)
POL2 (ISI_PRM_POL2)	POL2 (OSI_PRM_POL2)
eUICC Information (ISI_EIS_CLASS2)	eUICC Information (OSI_EIS_CLASS2)
Platform Management Requests (ISI_PMR)	Platform Management Request Responses (OSI_PMRR)
Profile Management Requests (ISI_PrMR)	Profile Management Request Responses (OSI_PrMRR)
Data Preparation Requests (ISI_DPR)	Data Preparation Request Responses (OSI_DPRR)
Remote Entities Authentication and Authorization Credentials (ISI_AACRE)	Remote Entities Authentication and Authorization Credentials (OSI_AACRE)
Profile Management Command Responses (ISI_PrMCR)	Profile Management Command (OSI_PrMC)
Platform Management Command Responses (ISI_PIMCR)	Platform Management Command (OSI_PLMC)
MNO's Profile Description (ISI_MPD)	Profile Metadata including POL1 (OSI_PRM)
Keys (MNO_KEY, ASI_KEY)	
POL1 (ISI_PRM_POL1)	

Additional Sensitive Information (ASI)	Cryptographic Keys (KEY)
Customer Information (ASI_CUI)	Secret Keys (KEY_SEC)
Other Management Data (ASI_MAD)	Public Keys (KEY_PUB)
	Private Keys (KEY_PRI)

Table 2 - SM-DP Information Assets

The SM-DP system assets are laid out in Table 3.

Software (SW)
SM-DP application software (SW_SM-DP)

Table 3 - SM-DP System Assets

4.2.2 SM-SR Assets

SM-SR information assets are laid out in Table 4.

Incoming Sensitive Information (ISI)	Outgoing Sensitive Information (OSI)
Platform Management Requests (ISI_PMR)	Platform Management Commands (OSI_PLMC)
Platform Management Command Responses (ISI_PLMCR)	eUICC Management Commands (OSI EMC)
eUICC Information (ISI_EIS)	Remote Entities Authentication and Authorization Credentials (OSI_AACRE)
Profile Metadata (ISI_PRM)	Profile Metadata (OSI_PRM)
Remote Entities Authentication and Authorization Credentials (ISI_AACRE)	eUICC Information (OSI_EIS)
	Request Responses (OSI_RES)

Additional Sensitive Information (ASI)	Cryptographic Keys (KEY)
Customer Information (ASI_CUI)	Secret Keys (ASI_KEY)
Other Management Data (ASI_MAD)	Public Keys (KEY_PUB)
	Private Keys (KEY_PRI)

Table 4 - SM-SR Information Assets

The primary SM-SR system assets are laid out in Table 5.

Software (SW)
SM-SR application software (SW_SM-SR)

Table 5 - SM-SR System Assets

4.2.3 SM-DP+ Assets

SM-DP+ information assets are laid out in Table 2.

Incoming Sensitive Information (ISI)	Outgoing Sensitive Information (OSI)
eUICC Information (ISI_EIS_CLASS2)	eUICC Information (OSI_EIS_CLASS2)
Keys (MNO_KEY, ASI_KEY)	Profile Metadata (OSI_PRM)
MNO's Profile Description (ISI_MPD)	Profile Management Command (OSI_PrMC)
Remote Entities Authentication and Authorization Credentials (ISI_AACRE)	Profile Management Request Responses (OSI_PrMRR)
Profile Management Requests (ISI_PrMR)	Platform Management Request Responses (OSI_PMRR)
Data Preparation Requests (ISI_DPR)	Data Preparation Request Responses (OSI_DPRR)
Profile Management Command Responses (ISI_PrMCR)	Remote Entities Authentication and Authorization Credentials (OSI_AACRE)

PPR Information (ISI_PRM_PPR)	Event Management Requests (Registration or Deletion) (OSI_EMR)
Device Information (ISI_DEV)	
Additional Sensitive Information (ASI)	Cryptographic Keys (KEY)
Customer Information (ASI_CUI)	Secret Keys (KEY_SEC)
Other Management Data (ASI_MAD)	Public Keys (KEY_PUB)
	Private Keys (KEY_PRI)

Table 6 - SM-DP+ Information Assets

The SM-DP+ system assets are laid out in Table 3.

Software (SW)
SM-DP+ application software (SW_SM-DP+)

Table 7 - SM-DP+ System Assets

4.2.4 SM-DS Assets

SM-DS information assets are laid out in Table 8.

Incoming Sensitive Information (ISI)	Outgoing Sensitive Information (OSI)
Event Record (ISI_ER)	Event Management (Registration or Deletion) Requests (OSI_EMR)
Discovery Server Requests (ISI_DSR)	Discovery Server Responses (OSI_DSR)
Event Management (Registration or Deletion) Requests (ISI_EMR)	Remote Entities Authentication and Authorization Credentials (OSI_AACRE)
Remote Entities Authentication and Authorization Credentials (ISI_AACRE)	Audit logs (OSI_LOG)
Additional Sensitive Information (ASI)	Cryptographic Keys (KEY)
Customer Information (ASI_CUI)	Secret Keys (KEY_SEC)
Other Management Data (ASI_MAD)	Public Keys (KEY_PUB)
	Private Keys (KEY_PRI)

Table 8 - SM-DS Information Assets

The SM-DS system assets are laid out in Table 9.

Software (SW)
SM-DS application software (SW_SM-DS)

Table 9 - SM-DS System Assets

4.2.5 eIM Assets

eIM information assets are laid out in Table 10.

Incoming Sensitive Information (ISI)	Outgoing Sensitive Information (OSI)
eUICC Information (ISI_EIS_CLASS2)	eUICC Information (OSI_EIS_CLASS2)
Platform Management Requests (ISI_PMR)	Platform Management Commands (OSI_PLMC)
	Outgoing information (OSI_RES)
Additional Sensitive Information (ASI)	Cryptographic Keys (KEY)
Customer Information (ASI_CUI)	Secret Keys (ASI_KEY)
Other Management Data (ASI_MAD)	Public Keys (KEY_PUB)
	Private Keys (KEY_PRI)

Table 10 - eIM Information Assets

The primary eIM system assets are laid out in Table 11.

Software (SW)
eIM application software (SW_eIM)

Table 11 - eIM System Assets

4.3 Asset Classification Definition

Assets that require protection are in various forms within SM-DP, SM-SR, SM-DP+ or SM-DS processes. The protection required can be complex unless arranged logically in classes.

The following classes SHALL apply in this document.

	Availability	Integrity	Authenticity	Confidentiality
Class 1	X	X	X	X
Class 2	X	X	X	-
Class 3	X	-	-	-

Table 12 – Asset Classes

The classification of the different assets described in this document are given in Annex A.

4.4 Asset Characteristics

Files and data are transmitted, stored and used in many media and transport forms.

4.5 Incoming Sensitive Information

4.5.1 SM-DP Incoming Sensitive Information

Incoming sensitive information (ISI) includes:

- eUICC Information [**ISI_EIS_CLASS2**] containing classified information which SHALL be protected in terms of integrity, authenticity and availability commensurate with the highest class of information contained in the SM-DP [**ISI_EIS_CLASS2**].
- Keys [**MNO_KEY, ASI_KEY**] containing classified information which SHALL be protected in terms of integrity, confidentiality, authenticity and availability commensurate with the highest class of information contained in the SM-DP.
- MNO's Profile Description [**ISI_MPD**] whose integrity and availability SHALL be protected.
- Remote Entities Authentication and Authorization Credentials [**ISI_AACRE**] which SHALL be protected in terms of availability and integrity.
- Platform Management Requests [**ISI_PMR**] whose authenticity, integrity and availability SHALL be protected.
- Profile Management Requests [**ISI_PrMR**] whose authenticity, integrity and availability SHALL be protected.
- Data Preparation Requests [**ISI_DPR**] whose authenticity, integrity and availability SHALL be protected.
- Profile Management Command Responses from the SM-SR [**ISI_PrMCR**] whose authenticity, integrity and availability SHALL be protected.
- Platform Management Command Responses from the SM-SR [**ISI_PIMCR**] whose authenticity, integrity and availability SHALL be protected.
- POL1 Information [**ISI_PRM_POL1**] containing classified information which SHALL be protected in terms of integrity, confidentiality, authenticity and availability commensurate with the highest class of information contained in the SM-DP [**ISI_PRM_POL1**].
- POL2 Information [**ISI_PRM_POL2**] containing classified information which SHALL be protected in terms of integrity, authenticity and availability [**ISI_PRM_POL2**].

4.5.2 SM-DP+ Incoming Sensitive Information

Incoming sensitive information (ISI) includes:

- eUICC Information [**ISI_EIS**] containing classified information which SHALL be protected in terms of integrity, authenticity and availability commensurate with the highest class of information contained in the SM-DP+ .
- Keys [**MNO_KEY, ASI_KEY**] containing classified information which SHALL be protected in terms of integrity, confidentiality, authenticity and availability commensurate with the highest class of information contained in the SM-DP+.
- MNO's Profile Description [**ISI_MPD**] whose integrity and availability SHALL be protected.
- Remote Entities Authentication and Authorization Credentials [**ISI_AACRE**] which SHALL be protected in terms of availability and integrity.
- Profile Management Requests [**ISI_PrMR**] whose authenticity, integrity and availability SHALL be protected.
- Data Preparation Requests [**ISI_DPR**] whose authenticity, integrity and availability SHALL be protected.
- Profile Management Notification from the eUICC [**ISI_PrMCR**] whose authenticity, integrity and availability SHALL be protected.

- PPR Information [**ISI_PRM_PPR**] containing classified information which SHALL be protected in terms of integrity, confidentiality, authenticity and availability commensurate with the highest class of information contained in the SM-DP+ [**ISI_PRM_PPR**].
- Device Information [**ISI_DEV**] containing classified information which SHALL be protected in terms of integrity, authenticity and availability.

4.5.3 SM-SR Incoming Sensitive Information

Incoming sensitive information (ISI) includes:

- eUICC Information [**ISI_EIS**] containing classified information which SHALL be protected in terms of integrity, confidentiality and availability commensurate with the highest class of information contained in the SM-SR [**ISI_EIS**].
- Profile Metadata [**ISI_PRM**] whose confidentiality, integrity and availability SHALL be protected.
- Remote Entities Authentication and Authorization Credentials [**ISI_AACRE**] which SHALL be protected in terms of availability and integrity.
- Platform Management Requests [**ISI_PMR**] whose authenticity, integrity and availability SHALL be protected.
- Platform Management Command Responses from the eUICC [**ISI_PLMCR**] whose authenticity, integrity and availability SHALL be protected.

4.5.4 SM-DS Incoming Sensitive Information

Incoming sensitive information (ISI) includes:

- Event Record [**ISI_ER**] containing classified information which SHALL be protected in terms of integrity, confidentiality and availability [**ISI_EIS**].
- Remote Entities Authentication and Authorization Credentials [**ISI_AACRE**] which SHALL be protected in terms of availability and integrity.
- Discovery Server Requests [**ISI_DSR**] whose authenticity, integrity and availability SHALL be protected.
- Event Management (Registration or Deletion) Requests [**ISI_EMR**] whose authenticity, integrity and availability SHALL be protected.

4.5.5 eIM Incoming Sensitive Information

Incoming sensitive information (ISI) includes:

- eUICC Information [**ISI_EIS_CLASS2**] containing classified information which SHALL be protected in terms of integrity, authenticity and availability commensurate with the highest class of information contained in the eIM.
- Platform Management Requests [**ISI_PMR**] whose authenticity, integrity and availability SHALL be protected.

4.6 Outgoing Sensitive Information

4.6.1 SM-DP Outgoing Sensitive Information

Outgoing sensitive information (OSI) includes:

- eUICC Information [**OSI_EIS_CLASS1**] containing classified information which SHALL be protected in terms of integrity, authenticity, confidentiality, and availability commensurate with the highest class of information contained in the SM-DP.
- eUICC Information [**OSI_EIS_CLASS2**] containing classified information which SHALL be protected in terms of integrity, authenticity, and availability commensurate with the highest class of information contained in the SM-DP.
- Profile Metadata [**OSI_PRM**] whose confidentiality, authenticity, integrity and availability SHALL be protected.
- Profile Management Commands [**OSI_PrMC**] towards SM-SR whose authenticity and integrity SHALL be protected.
- Platform Management Commands [**OSI_PLMC**] towards the SM-SR whose authenticity and integrity SHALL be protected.
- Platform Management Requests Responses [**OSI_PMRR**] to the MNO whose authenticity and integrity SHALL be protected.
- Profile Management Requests Responses [**OSI_PrMRR**] to the MNO whose authenticity and integrity SHALL be protected.
- Data Preparation Requests Responses [**OSI_DPRR**] to the MNO whose authenticity and integrity SHALL be protected.
- SM-DP Authentication and Authorization Credentials [**OSI_AACRE**] which SHALL be protected in terms of availability and integrity.
- POL2 Information [**OSI_PRM_POL2**] containing classified information which SHALL be protected in terms of integrity, authenticity and availability [**OSI_PRM_POL2**].

In all cases, if the information contains different classes of data the higher class shall prevail.

4.6.2 SM-DP+ Outgoing Sensitive Information

Outgoing sensitive information (OSI) includes:

- eUICC Information [**OSI_EISCLASS2**] (e.g. EID) toward MNO or SM-DS, containing classified information which SHALL be protected in terms of integrity, authenticity, and availability commensurate with the highest class of information contained in the SM-DP+.
- Profile Metadata [**OSI_PRM**] toward LPA/IPA whose authenticity, integrity and availability SHALL be protected.
- Profile Management Commands [**OSI_PrMC**] towards LPA/IPA whose confidentiality, authenticity and integrity SHALL be protected.
- Profile Management Requests Responses [**OSI_PrMRR**] to the MNO whose authenticity and integrity SHALL be protected.
- Data Preparation Requests Responses [**OSI_DPRR**] to the MNO whose authenticity and integrity SHALL be protected.
- SM-DP+ Authentication and Authorization Credentials [**OSI_AACRE**] which SHALL be protected in terms of availability and integrity.
- Event Management (Registration or Deletion) Requests towards SM-DS [**OSI_EMR**] whose authenticity, integrity and availability SHALL be protected.

In all cases, if the information contains different classes of data the higher class shall prevail.

4.6.3 SM-SR Outgoing Sensitive Information

Outgoing sensitive information (OSI) includes:

- eUICC Information [**OSI_EIS**] containing classified information which SHALL be protected in terms of integrity, confidentiality, and availability commensurate with the highest class of information contained in the SM-SR [**OSI_EIS**].
- Profile Metadata [**OSI_PRM**] whose confidentiality, integrity and availability SHALL be protected.
- Platform Management Commands [**OSI_PLMC**] towards the eUICC whose confidentiality, availability and integrity SHALL be protected.
- eUICC Management Commands [**OSI_EMCC**] towards other SM-SR whose authenticity, availability and integrity SHALL be protected.
- Other SM-SR Authentication and Authorization Credentials [**OSI_AACRE**] which SHALL be protected in terms of availability and integrity.
- Request responses [**OSI_RES**] generated by the SM-SR whose authenticity, integrity and availability SHALL be protected.

In all cases, if the information contains different classes of data the higher class shall prevail.

4.6.4 SM-DS Outgoing Sensitive Information

Outgoing sensitive information (OSI) includes:

- Remote Entities Authentication and Authorization Credentials [**OSI_AACRE**] which SHALL be protected in terms of availability and integrity.
- Event Management (Registration or Deletion) Requests [**OSI_EMR**] whose authenticity, integrity and availability SHALL be protected.
- Discovery Server Responses [**OSI_DSR**] whose authenticity, integrity and availability SHALL be protected.
- Audit logs [**OSI_LOG**]

4.6.5 eIM Outgoing Sensitive Information

Outgoing sensitive information (ISI) includes:

- eUICC Information [**OSI_EIS_CLASS2**] containing classified information which SHALL be protected in terms of integrity, authenticity and availability commensurate with the highest class of information contained in the eIM.
- Platform Management Commands [**OSI_PLMC**] towards the eUICC whose confidentiality, availability and integrity SHALL be protected.
- Request responses [**OSI_RES**] generated by the eIM whose authenticity, integrity and availability SHALL be protected.

4.7 Additional Sensitive Information (ASI)

Additional sensitive information (ASI) is:

- Customer information [**ASI_CUI**] from SM-DP, SM-SR, SM-DP+ or SM-DS that is created or can be obtained inside or by a third party attack. Customer information can be recorded in the following systems:

- Transmission and ciphering systems [**DE_TRA**]
- Testing systems [**DE_TST**]
- Production systems [**DE_PRD**]
- Management Data [**ASI_MAD**], information on the management of SM-DP, SM-SR, SM-DP+ or SM-DS systems. This can consist of:
 - [**SEN_MAT**] traceability information which should allow the supplier identify the user, or group of users, who worked on SM-DP or SM-SR systems.
 - [**SEN_MAU**] audit information which should be available in relation to the recorded Remote Provisioning and Management history of a eUICC subject to local laws.
- [**SEN_ISD-P_KEYS**], transport keys used by SM-DP to encrypt the Profile sent to the eUICC.

Sensitive information includes all data, particularly working, temporary or safeguarded data that contain the information outlined above.

4.8 Cryptographic Keys [**KEY**]

Cryptographic keys [**KEY**] include:

- Secret Keys [**KEY_SEC**] whose confidentiality, authenticity, integrity and availability SHALL be protected.
- Private keys [**KEY_PRI**] whose confidentiality, authenticity, integrity and availability SHALL be protected.
- Public keys [**KEY_PUB**] whose authenticity, integrity and availability SHALL be protected.

5 Threats

5.1 Introduction

A threat analysis has been completed to identify the main threats to SM-DP, SM-SR, SM-DP+ and SM-DS. The list is not intended to be exhaustive.

The main threats to data are loss of availability, confidentiality and integrity.

The threats are listed in sections 5.2 and 5.3 independently of the process step concerned.

In the threat description, data means all type of data assets described in Section 4.

5.2 Direct Threats Description

Threats	Actors	Assets	Description
T_LOSS	INT_AUTH INT_UNAU EXT_AUTH EXT_UNAU	ALL SENSITIVE ASSETS	Loss or theft or unrequested or unauthorized removal of classified assets (1, 2)
T_CONT	INT_AUTH	OSI_PMRR	Accidental or deliberate cross-contamination of assets in the SM-

Threats	Actors	Assets	Description
	INT_UNAU EXT_AUTH EXT_UNAU	OSI_PrMC OSI_PLMC	DP, SM-SR, SM-DP+, and eIM.
T_DISC	INT_AUTH INT_UNAU EXT_AUTH EXT_UNAU	ALL ASSETS CONTAINING CLASSIFIED INFORMATION	Disclosure of classified information
T_MODIF	INT_AUTH INT_UNAU EXT_AUTH	ALL ASSETS CONTAINING CLASSIFIED INFORMATION	Unauthorised modification of classified information causing loss of integrity through error or malevolence
T_FAKE_ACT	EXT_AUTH EXT_UNAU	ALL SENSITIVE ASSETS	Fake Actor accepted as an authorized entity
T_FAKE_PIMC	INT_AUTH INT_UNAU	OSI_PMRR	Unauthorized Platform Management requests sent to remote entities for example, SM-SR.
T_FAKE_PrMC	INT_AUTH INT_UNAU	OSI_PrMC OSI_PLMC	Unauthorized Profile Management commands sent to remote entities for example, SM-SR and eUICC.
T_LOSS_AVAIL	INT_AUTH INT_UNAU EXT_AUTH EXT_UNAU	ALL ASSETS	Accidental or deliberate loss of availability of SM-DP, SM-SR, SM-DP+ and SM-DS functionality.

Table 13 - Direct Threats Description

Additional threats can result from combinations of those threats listed above.

5.3 Indirect Threats Description

Threats	Actors	Assets	Description
T_SEF	ANY	ANY	Accidental or deliberate security failure.

Table 14 - Indirect Threats Description

6 Security Objectives

6.1 Introduction

Organisations providing SM-DP, SM-SR, SM-DP+ or SM-DS functions are responsible for protecting assets from security risks to which they are exposed defined by the security objectives. It is this protection that provides assurance to the MNOs. The security objectives relate to both the Sensitive Process and its Environment. All objectives SHALL be addressed but higher levels of assurance are needed depending on the asset classification.

6.2 Security Objectives for the Sensitive Process

#	Objective	Threat	Description
1	The SP SHALL control the SM-DP, SM-SR, SM-DP+ or SM-DS processes	T_LOSS T_MODIF T_CONT, T_FAKE_PMC	To prevent <ul style="list-style-type: none"> • clone, mismatch, anomalies • any non-conforming actions due to use of components not compliant with SGP.01 [3] and SGP.02 [4] for SM-DP and SM-SR • any non-conforming actions due to use of components not compliant with SGP.21 [3] and SGP.22 [4] for SM-DP+ and SM-DS
2	The SP SHALL control, manage and protect data against loss of integrity and confidentiality	T_LOSS T_DISC T_MODIF	To prevent: <ul style="list-style-type: none"> • any disclosure of assets • any non-conforming action due to loss of integrity
3	The SP SHALL guarantee a secure process flow	T_LOSS T_DISC T_SEF T_CONT	To prevent theft, loss, misappropriation of assets
4	The SP SHALL manage the elements that are specified as auditable	T_MODIF	To look for possible or real security violations.
5	The SP SHALL be designed in such a way that independence of different customer data (asset) is always achieved	T_DISC	To prevent one customer's data being disclosed to another customer.
6	The SP SHALL guarantee that fake remote entity authentication is discovered	T_FAKE_ACT	To prevent illegitimate action from fake entities.
7	The SP SHALL be designed in such way that its availability is within defined SLA	T_LOSS_AVAIL	To prevent loss of service availability and maintain business continuity.

Table 15 - Security Objectives for the Sensitive Process

6.3 Security Objectives for the Environment

#	Objective	Threat	Description
1	The SP Environment SHALL manage the elements that are specifically auditable	T_SEF	To look for possible or real security violations
2	The SP Environment SHALL guarantee secure SM-DP, SM-SR, SM-DP+ or SM-DS functionality	T_SEF	To prevent theft, loss or misappropriation of assets

Table 16 - Security Objectives for the Environment

7 Security Requirements

7.1 Introduction

Certain requirements SHALL be met to consider the SM processes as being secure. These requirements are specified in the SAS Consolidated Security Requirements and Guidelines (CSRG) document [2] as relevant to subscription management, specifically addressing the requirements for:

- Policy, strategy and documentation (including business continuity planning)
- Organisation and responsibility
- Information
- Personnel security
- Physical security
- Certificate and key management
- Sensitive process data management
- SM-DP, SM-SR, SM-DP+ and SM-DS service management
- Computer and network management

These requirements are considered as minimum-security requirements for the environment in which the SP is used.

The requirements of the SAS-SM Standard should be met by established processes / controls for which evidence of correct operation exists.

Annex A Assets Classification (Normative)

A.1 SM-DP Assets Classification

Code	Asset	Class
ASI_EIS_ISD-P	Information related to the ISD-P for example, keys to manage the Profile Lifecycle	1
MNO_KEY	MNO Cryptographic keys (for example, Ki, OP, OPC, ISD and SSD keys)	1
ASI_KEY	Clear cryptographic keys/key components protecting class 1 assets for confidentiality and integrity. An asset protected by these cryptographic keys is considered a class 2 asset.	1
KEY_PRI	The private component of the asymmetric key pair	1
KEY_PUB	The public component of the asymmetric key pair	2
OSI_PRM	Profile Metadata	1
ISI_EIS_CLASS1	Incoming eUICC information.	1
OSI_EIS_CLASS1	Outgoing eUICC information.	1
ISI_PRM_POL1	POL1 for Profile	1
ISI_PRM_POL2	POL2 for Profile	2
OSI_PRM_POL2	POL2 for Profile	2
ASI_MAD	Other management data. Information on the remote provisioning of eUICCs. This may contain: <ul style="list-style-type: none"> Traceability information, which should allow the supplier to identify the person(s) who worked on a request. Audit information related to the remote provisioning history of a eUICC or batch of eUICCs.	2
ISI_EIS_CLASS2	Incoming eUICC information.	2
OSI_EIS_CLASS2	Outgoing eUICC information.	2
OSI_RES	Outgoing information - for example to inform an MNO of the result of a Platform Management operation.	2
ISI_PMR	Incoming Platform Management Request	2
ISI_PrMR	Incoming Profile Management Request	2
ISI_DPR	Incoming Data Preparation Request	2
OSI_PLMC	Outgoing Platform Management command.	2
OSI_PrMC	Outgoing Profile Management command.	2
OSI_PMRR	Platform Management Request Responses	2
OSI_PrMRR	Profile Management Request Responses	2
OSI_DPRR	Data Preparation Request Responses	2
ISI_MPD	Description of the MNO Profile structure to be used to create the personalised Profile in the eUICC (un-personalised Profile).	2

Table 17 - SM-DP Assets Classification

A.2 SM-SR Assets Classification

Code	Asset	Class
ASI_KEY	Clear cryptographic keys/key components protecting class 1 assets for confidentiality and integrity. An asset protected by these cryptographic keys is considered a class 2 asset. A cryptographic key that is used with a secret-key (symmetric) cryptographic algorithm that is uniquely associated with one or more entities and is not made public.	1
ISI_EIS	Incoming eUICC information.	1
KEY	Clear cryptographic keys/key components protecting class 1 assets for confidentiality and integrity. An asset protected by these cryptographic keys is considered a class 2 asset.	1
KEY_PRI	The private component of the asymmetric key pair	1
OSI_EIS	Outgoing eUICC information. If the information contains class 1 information (e.g. ISD-R key), this information has to be Class 1 protected	1
ASI_MAD	Other management data. Information on the remote provisioning of eUICCs. This may contain: <ul style="list-style-type: none"> Traceability information, which should allow the supplier to identify the person(s) who worked on a request. Audit information related to the remote provisioning history of a eUICC or batch of eUICCs. 	2
ISI_AACRE	Remote Entities Authentication and Authorisation Credentials	2
ISI_PLMCR	Platform Management Command Responses from the eUICC	2
ISI_PMR	Incoming Platform Management Request	2
ISI_PRM_POL2	POL2 for Profile	2
KEY_PUB	The public component of the asymmetric key pair	2
OSI_AACRE	Other SM-SR Authentication and Authorisation Credentials	2
OSI EMC	Outgoing eUICC management commands towards other SM-SR	2
OSI_PLMC	Outgoing Platform Management command.	2
OSI_PRM_POL2	POL2 for Profile	2
OSI_RES	Outgoing information - for example to inform an MNO of the result of a Platform Management operation.	2

Table 18 - SM-SR Assets Classification

A.3 SM-DP+ Assets Classification

Code	Asset	Class
MNO_KEY	MNO Cryptographic keys (for example, Ki, OP, OPC, ISD and SSD keys)	1
ASI_KEY	Clear cryptographic keys/key components protecting class 1 assets for confidentiality and integrity. An asset protected by these cryptographic keys is considered a class 2 asset.	1
OSI_PRM	Profile Metadata	2

Code	Asset	Class
ISI_PRR_PPR	Profile Policy Rule for Profile	2
ISI_EIS	Incoming eUICC information.	2
ASI_MAD	Other management data. Information on the remote provisioning of eUICCs. This may contain: <ul style="list-style-type: none"> Traceability information, which should allow the supplier to identify the person(s) who worked on a request. Audit information related to the remote provisioning history of a eUICC or batch of eUICCs.	2
ISI_EIS	Incoming eUICC information.	2
OSI_EIS	Outgoing eUICC information.	2
ISI_PrMR	Incoming Profile Management Request	2
ISI_DPR	Incoming Data Preparation Request	2
ISI_DEV	Device Information (IMEI, TAC, Device Capabilities)	2
OSI_PrMC	Outgoing Profile Management command.	1
OSI_PrMRR	Profile Management Request Responses	2
OSI_DPRR	Data Preparation Request Responses	2
OSI_PrMCI	Profile Management Command Identifier (Event ID)	2
ISI_MPD	Description of the MNO Profile structure to be used to create the personalised Profile in the eUICC (un-personalised Profile).	2
KEY_PRI	The private component of the asymmetric key pair	1
KEY_PUB	The public component of the asymmetric key pair	2

A.4 SM-DS Assets Classification

Code	Asset	Class
ISI_ER	Event Record	2
ISI_DSR	Discovery Server Requests	2
ISI_EMR	Event Management (Registration or Deletion) Requests	2
ISI_AACRE	Remote Entities Authentication and Authorization Credentials	2
ASI_CUI	Customer Information	2
ASI_MAD	Other Management Data	2
ASI_KEY	Clear cryptographic keys/key components protecting class 1 assets for confidentiality and integrity. An asset protected by these cryptographic keys is considered a class 2 asset.	1
KEY_PUB	The public component of the asymmetric key pair	2
KEY_PRI	The private component of the asymmetric key pair	1
OSI_EMR		2
OSI_DSR		2
OSI_AACRE	Remote Entities Authentication and Authorization Credentials	2
OSI_LOG		2

Table 19 - SM-DS Assets Classification

A.5 EIS Asset Details and Classification

Data Level 1 name	Data Level 2 name	Asset Class
Eid		2
eum-id		2
productionDate		2
platformType		2
platformVersion		2
remainingMemory		2
Availablememoryforprofiles		2
lastAuditDate		2
smsr-id		2
isd-p-loadfile-aid		2
isd-p-module-aid		2
Profiles (note 1)		
	lccid	2
	isd-p-aid	2
	mno-id	2
	fallbackAttribute	2
	subscriptionAddress	2
	Msisdn	2
	lmsi	2
	State	2
	smdp-id	2
	ProfileType	2
	allocatedMemory	2
	freeMemory	2
	pol2	2
ISD-R (note 2)		1
ECASD		2
eUICC-Capabilities		2
	CAT-TP-Support	2
	CAT-TP-Version	2
	HTTP-Support	2
	HTTP-Version	2
	secure-packet-version	2
	Remote-provisioning-version	2
audit trail		2
eumCertificateId		2
signatureAlgorithm		2

Data Level 1 name	Data Level 2 name	Asset Class
Signature		2

Table 20 - EIS Asset Details and Classification

- Note 1 Profile classification level inherits the strongest classification level of the data contained.
- Note 2 The only entities that may handle EIS Class 1 assets are the SM-SR and the EUM. The SM-DP, SM-DP+, SM-DS and eIM have access only to Class 2 assets.

A.6 eIM Assets Classification

Code	Asset	Class
ASI_KEY	Clear cryptographic keys/key components protecting class 1 assets for confidentiality and integrity. An asset protected by these cryptographic keys is considered a class 2 asset. A cryptographic key that is used with a secret-key (symmetric) cryptographic algorithm that is uniquely associated with one or more entities and is not made public.	1
ISI_EIS_CLASS2	Incoming eUICC information.	2
ISI_PMR	Incoming Platform Management Request	2
KEY_PRI	The private component of the asymmetric key pair	1
OSI_EIS_CLASS2	Outgoing eUICC information.	2
ASI_MAD	Other management data. Information on the remote provisioning of eUICCs. This may contain: <ul style="list-style-type: none"> Traceability information, which should allow the supplier to identify the person(s) who worked on a request. Audit information related to the remote provisioning history of a eUICC or batch of eUICCs. 	2
KEY_PUB	The public component of the asymmetric key pair	2
OSI_PLMC	Outgoing Platform Management command (e.g. PSMO)	2
OSI_RES	Outgoing information - for example to inform an SM-DP+ of the result of a Platform Management operation.	2

Table 21 - eIM Assets Classification

Annex B Personalisation Flow

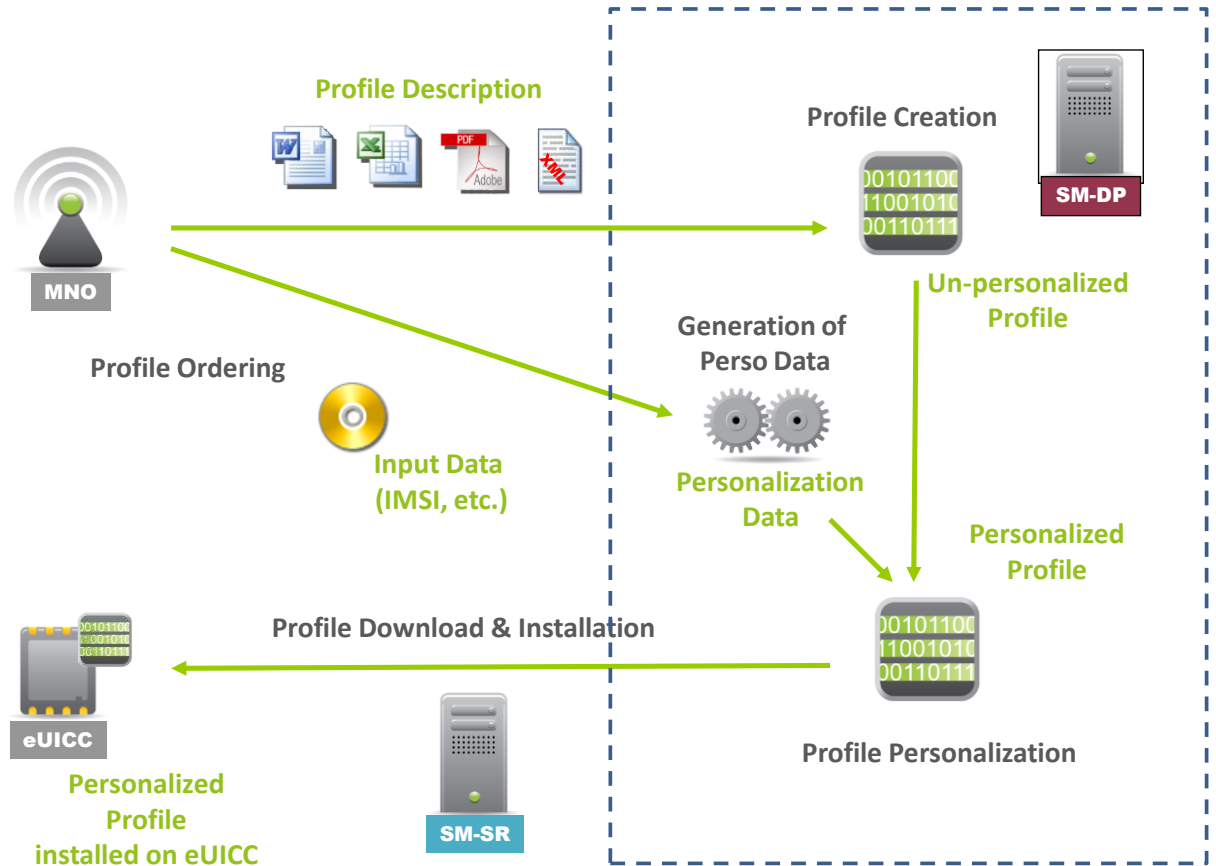


Figure 9 - Personalisation Flow

Annex C Document Management

C.1 Document History

Version	Date	Brief Description of Change	Editor / Company
1.0	13 October 2014	PSMC approved, first release	Arnaud Danree, Oberthur
2.0	13 May 2015	Updated and transferred to FASG	Arnaud Danree, Oberthur
3.0	31 Mar 2017	Updated to reflect use of Consolidated Security Requirements (CSR) and Consolidated Security Guidelines (CSG) for SAS-SM, and extension of SAS-SM to support auditing and certification of SM-DP+ and SM-DS solutions.	RSPSAS subgroup
3.1	1 Apr 2022	Removed references to FS.17, allowing withdrawal of that PRD (content merged into FS.18)	David Maxwell, GSMA
3.2	12 Apr 2023	Updated GSMA logo	David Maxwell, GSMA
4.0	6 Dec 2023	CR1004 – Add eSIM IoT Remote Manager	Saïd Gharout, Kigen
4.1	19 Jul 2024	CR1005 – Merge SAS-SM scope definitions into this document	Saïd Gharout, Kigen

C.2 Other Information

Type	Description
Document Owner	GSMA SAS group
Editor / Company	Saïd Gharout, Kigen

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